A-ring oxygenation modulates the chemistry and bioactivity of caged Garcinia xanthones†

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Natural products of the caged Garcinia xanthones (CGXs) family are characterized by a unique chemical structure, potent bioactivities and promising pharmacological profiles. We have developed a Claisen/Diels–Alder reaction cascade that, in combination with a Pd(0)-catalyzed reverse prenylation, provides rapid and efficient access to the CGX pharmacophore, represented by the structure of cluvenone. To further explore this pharmacophore, we have synthesized various A-ring oxygenated analogues of cluvenone and have evaluated their bioactivities in terms of growth inhibition, mitochondrial fragmentation, induction of mitochondrial-dependent cell death and Hsp90 client inhibition. We found that installation of an oxygen functionality at various positions of the A-ring influences significantly both the site-selectivity of the Claisen/Diels–Alder reaction and the bioactivity of these compounds, due to remote electronic effects.

Introduction

Tropical plants of the genus Garcinia have led to the identification of an intriguing family of xanthone-derived natural products that have interesting bioactivities and a documented value in traditional Eastern medicine.1 Collectively referred to as caged Garcinia xanthones (CGXs),2 these compounds are structurally defined by an unusual architecture in which a 4-oxa-tricyclo[4.3.1.03,7]dec-8-en-2-one scaffold has been built onto the C-ring of a xanthone backbone. This motif is further decorated via substitutions at the A-ring and peripheral oxidations to produce a variety of related subfamilies. Gambogic acid (1, GA),3 the archetype of this family, has been studied as a potent antitumor and anti-inflammatory agent and has entered phase I clinical trials in China.4 Various biological studies have suggested that 1 can induce cell apoptosis by activating the mitochondrial pathway via mechanisms that are partly dependent on the Bcl-2 family of proteins.5 Although the primary direct molecular target of 1 is still under investigation, recent studies have suggested that it can bind with a low micromolar $k_d$ to the heat shock protein Hsp90,6 thereby reducing the expression levels of Hsp90-dependent clients that are involved in cell growth, apoptosis, angiogenesis and metastasis.7

Intrigued by the unusual chemical motif and therapeutic promise of CGXs, we sought to design a general synthetic strategy that would allow us to further explore and optimize its biological properties.8,9 These studies led to the identification of cluvenone (2, CLV), a structurally simplified CGX that retains the biological activities of 1.10 Along these lines, we have shown that CLV parallels the activity of GA in inducing cell stress and apoptosis in various cancer lines at low micromolar concentration. Fluorescent labeling studies have indicated that both 1 and 2 localize in mitochondria and induce significant

Fig. 1 Structures of selected caged Garcinia xanthones (CGXs).
changes in the morphology and structure of this organelle, thereby leading to cell apoptosis.\textsuperscript{12} It has been proposed that the C$_{9}$-C$_{14}$ enone functionality of these compounds contributes to their bioactivity, presumably by acting as a conjugate electrophile.\textsuperscript{9a,b} To further explore and optimize the CGX pharmacophore, we synthesized A-ring oxygenated xanthones 3 and 4 and compared their activities with those of 1, 2 and 5.\textsuperscript{10} Here we show that installation of an oxygen group at C$_{6}$ or C$_{14}$ positions of the CGX motif (gambogic acid numbering) affects significantly both the synthesis and the bioactivity of these compounds (Fig. 1).

**Results and discussion**

**Synthesis of A-ring hydroxylated caged Garcinia xanthones**

The synthesis of caged *Garcinia* xanthones containing a protected phenolic group at the C$_{6}$ and C$_{14}$ positions of the A-ring is highlighted in Schemes 1 and 2. Acyl chloride 7a, prepared via oxalyl chloride/DMF-mediated chlorination of commercially available 2,6-dimethoxybenzoic acid (6a), was subjected to an AlCl$_{3}$-catalyzed Friedel–Crafts acylation with pyrogallol trimethyl ether (8) (Scheme 1). The resulting benzophenone intermediate was treated with NaOH to produce xanthone 9a in 90\% combined yield. Demethylation of 9a with 48\% HBr in AcOH gave rise to hydroxylated xanthone 10a (95\% yield). Protection of the C-ring catechol of 9a with carbonate 12 produced 13a in 63\% yield.\textsuperscript{10} In a similar manner, 13b was produced from 2,4-dimethoxybenzoic acid (6b) in 7 steps and 17\% overall yield.

Conversion of 13a and 13b to the corresponding caged structures was achieved via the tandem Claisen/Diels–Alder reaction cascade (Scheme 2).\textsuperscript{12} To this end, heating of 13a (DMF, 120 °C, 2 h) produced two isomeric caged xanthones: the “regular” caged structure 15 (80\% yield) that is formed upon initial Claisen migration of the C$_{12}$ allyl ether at the C$_{13}$ position, and the “neo” caged structure 16 (20\% yield) that is formed upon initial Claisen migration of the C$_{13}$ allyl ether at the C$_{12}$ position (gambogic acid numbering). On the other hand, ZnCl$_{2}$-induced cleavage of the MOM group (40 °C, 2 h) of 13a formed 14 (93\% yield) that, upon heating, underwent the Claisen/Diels–Alder reaction to form regular caged xanthone 3 (92\% yield) together with the neo caged compound 17 (8\% yield). In a similar manner, heating of 13b (DMF, 2 h, 120 °C) produced the regular caged structure 19 (80\% yield) together with the neo structure 20 (20\% yield). To our surprise, removal of the MOM group from 13b under ZnCl$_{2}$ conditions proved to be problematic and led to partial or full loss of the dimethylallyl ethers. Thus, this deprotection was accomplished after conversion of 13b to the corresponding caged compounds 19 and 20. To this end, treatment of 19 and 20 with ZnCl$_{2}$ (40 °C, 24 h) afforded caged xanthenes 4 and 21 respectively in ca. 75\% yield.\textsuperscript{11}

The products distribution of the Claisen/Diels–Alder reaction deserves some comments. We have previously postulated that the site selectivity of this reaction can be rationalized by considering the electronic deficiency of the B-ring carboxyl group that, being para to the C$_{12}$ allyl ether, facilitates its rupture during the inaugural Claisen rearrangement.\textsuperscript{13} In turn, Claisen migration of this group at the C$_{13}$ position leads to a site selective Diels–Alder reaction ultimately forming the regular caged motif as the major product. In contrast, the
competing Claisen migration of the C\textsubscript{13} dimethylallyl ether at the C\textsubscript{12} position is not electronically favored. Thus, the corresponding neo caged motif is formed only as a minor product.\textsuperscript{14,15} The results of our study with compounds 13a, 13b and 14 further support this proposal. Specifically, the Claissen/Diels–Alder reaction of 14 produces the regular and the neo caged compounds 3 and 17 in a 92:8 ratio. This enhanced site selectivity can be explained by considering that the C\textsubscript{6} hydroxyl group increases the electron deficiency of the enhanced site selectivity (\textit{i.e.} compound 3) increases the potency of this compound, while its protection as a MOM ether (\textit{i.e.} compound 15) decreases its potency. This modulation of potency parallels the effect of the C\textsubscript{6} oxygen on the activation of the C\textsubscript{9}–C\textsubscript{10} enone. Specifically, in compound 3, the C\textsubscript{6} free phenolic functionality can create a hydrogen bond with the adjacent carbonyl group, thereby enhancing the electrophilicity of the C\textsubscript{10} center. In contrast, the MOM ether functionality at C\textsubscript{6} of 15 enriches the electron density of the B-ring carbonyl group (vinyllogous carbonyl system), thereby reducing the electrophilicity of the C\textsubscript{10} center. Along these lines, compound 5 (containing a methyl group at C\textsubscript{10}) is about twenty times less potent than 2 in which the C\textsubscript{10} center is not substituted and thus more accessible for a conjugate addition reaction with a bionucleophile.

### Cell proliferation studies of A-ring hydroxylated caged \textit{Garcinia} xanthones

The ability of the synthesized CGXs to inhibit cancer cell growth was evaluated in the T-cell acute lymphoblastic leukemia cell line (CEM). Based on our previous studies, this cell line was found to be one of the most sensitive.\textsuperscript{8} The inhibitory concentrations at 50% inhibition (IC\textsubscript{50}) of the active CGXs ranged from 0.12 to 1.0 \textmu M. Compound 5\textsuperscript{10} was found to be relatively inactive with an IC\textsubscript{50} greater than 5 \textmu M (Table 1 and Fig. 2).

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<th>Compound</th>
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**Table 1** Inhibition of cell proliferation by CGXs in CEM cells

It is interesting to relate the activities of these CGXs to their structure, in particular as they relate to the reactivity of the C\textsubscript{9}–C\textsubscript{10} enone as a conjugate electrophile (Table 1 and Fig. 2). Comparison of 3 with 15 suggests that the presence of a free phenolic group at the C\textsubscript{6} position of the A ring (\textit{i.e.} compound 3) increases the potency of this compound, while its protection as a MOM ether (\textit{i.e.} compound 15) decreases its potency. This modulation of potency parallels the effect of the C\textsubscript{6} oxygen on the activation of the C\textsubscript{9}–C\textsubscript{10} enone. Specifically, in compound 3, the C\textsubscript{6} free phenolic functionality can create a hydrogen bond with the adjacent carbonyl group, thereby enhancing the electrophilicity of the C\textsubscript{10} center. In contrast, the MOM ether functionality at C\textsubscript{6} of 15 enriches the electron density of the B-ring carbonyl group (vinyllogous carbonyl system), thereby reducing the electrophilicity of the C\textsubscript{10} center. Along these lines, compound 5 (containing a methyl group at C\textsubscript{10}) is about twenty times less potent than 2 in which the C\textsubscript{10} center is not substituted and thus more accessible for a conjugate addition reaction with a bionucleophile. Overall, these comparative studies support the notion that increasing the electrophilicity of the C\textsubscript{10} enone enhances the potency of the CGX motif.

**Effect of GA and CLV on mitochondria**

We have previously reported that CLV (2) and GA (1) localize in mitochondria, and induce mitochondrial fragmentation leading to activation of caspase-3 (C3) ultimately resulting in cell apoptosis.\textsuperscript{11} Despite having similar phenotypes, the cellular effects of 1 and 2 have not been compared. To this end, we began by analyzing the timing of induction of the apoptotic pathway, by western blot (WB) analysis of active caspase-9, a known initiator caspase,\textsuperscript{16} and caspase-3, the known downstream executor of apoptosis.\textsuperscript{17} Caspase-9 (C9) was examined due to its well established connection to the mitochondrial apoptotic pathway and its subsequent activation of C3. HeLa cells were treated with 2 \textmu M GA (Fig. 3a) or CLV (Fig. 3b) from 0 min (control cells, incubated with equal volume of DMSO) to 180 min. Both compounds induced a similar activation of C9 and C3 after about 120 min of incubation. In fact, C9 appears to be activated right before C3 since it is visible after about 90 min of incubation.

We then evaluated the status of the mitochondrial network by immunofluorescence (IF). As shown in Fig. 3(g and h), both GA and CLV induced a similar degree of mitochondrial disruption. Both compounds were found to induce a first degree of fragmentation after 30 min incubation, at which time the mitochondrial network appeared fragmented in larger discrete elements (Fig. 3d for GA and Fig. 3g for CLV). At longer incubation times (1 h treatment for both compounds) the mitochondria appeared collapsed in the perinuclear area and the mitochondrial network was no longer visible (Fig. 3e and 3h).

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**Fig. 2** Inhibition of \textsuperscript{3}H-thymidine incorporation by selected CGXs in CEM cells. Cells were treated with increasing concentrations of various CGXs for 48 h and pulsed with \textsuperscript{3}H-thymidine prior to harvesting.
Comparison of the IF results with the WB analysis supports the notion that mitochondrial fragmentation (visible after 30 min incubation) appears before the activation of the initiator C9 (visible after 90 min incubation). This observation confirms that both GA and CLV induce cell death via the intrinsic pathway, in which mitochondrial damage leads initially to C9 activation that subsequently activates C3. These results also suggest that GA and CLV act with a similar timing to activate the mitochondrial-dependent (intrinsric) apoptotic pathway.

**Effect of A-ring hydroxylated caged *Garcinia xanthones* on apoptosis and mitochondria**

We then evaluated the ability of compounds 3, 4 and 5 to induce mitochondrial fragmentation and cell death in HeLa cells. Cells incubated for 60 to 180 min with CLV and derivatives 3, 4 and 5 were analyzed to assess induction of apoptosis by WB analysis of active caspase-3 (C3). As shown in Fig. 4, 2 and 3 were able to induce apoptosis after 90 min incubation (compare lanes 3 and 7). On the other hand, compound 4, which carried the phenolic group at C18, was less effective, inducing C3 activation only after 120 min treatment (lane 12). Moreover, compound 5 proved to be inactive at the concentrations tested (2 μM). Even at much higher concentrations (up to 80 μM), 5 proved to be the least effective of the compounds, requiring 180 min to induce comparable levels of apoptosis. The results parallel the potency profile of these compounds and provide additional arguments in favor of the role of A ring hydroxylation in modulating the reactivity of the C9–C10 enone as an electrophile.

We also tested compounds 3, 4 and 5 for their ability to induce mitochondrial fragmentation. Control untreated cells are shown in Fig. 5a. Compound 3 induced mitochondrial disruption after 30 min of incubation (Fig. 5b), while extensive levels of fragmentation were reached after 60 minutes of incubation (Fig. 5c). The action of compound 3 was therefore similar to that of CLV at identical time points (compare with Fig. 3g and 3h respectively). To achieve similar levels of fragmentation, compound 4 had to be incubated for 60 min.
(Fig. 5e) and 90 min (Fig. 5f). Moreover, compound 5 showed the lowest levels of fragmentation, being able to induce partial mitochondrial disruption only after 120 min incubation at 80 μM concentration (Fig. 5i). Overall, the findings suggest that the extent of mitochondrial fragmentation parallels the activation of the C₉–C₁₀ enone as an electrophile.

The caged *Garcinia* xanthones induce irreversible mitochondrial fragmentation

Inspired by the above findings we sought to evaluate whether the effect of CGXs on mitochondria can be reversed upon removal of these compounds from the incubation media. We began by treating HeLa cells with 2 μM of GA or CLV for 0 min, 15 min and 30 min. The cells were then allowed to recover for 90 min in fresh medium and were subsequently fixed and processed for IF. As shown in Fig. 6, 30 min incubation with GA (Fig. 6c) and with CLV (Fig. 6f) were necessary and sufficient to induce a permanent mitochondrial fragmentation, while a shorter time point (15 min) proved to be not effective and mitochondrial integrity was recovered (Fig. 6b for GA and Fig. 6e for CLV).

To assess whether the phenotype of the irreversible mitochondrial fragmentation corresponded to an irreversible effect on apoptosis we incubated cells with GA and CLV for 0 min, 30 min, 45 min, 60 min and 90 min, and then allowed them to recover for 90 min in fresh medium. The cells were then analyzed by western blot in order to visualize caspase-3 activation. As shown in Fig. 6(g and h), no signs of apoptosis (measured by caspase-3 activation) were visible prior to 90 min incubation with either GA (Fig. 6g, lanes 1–5) or CLV (Fig. 4h, lanes 10–13). However, when cells were treated for 45 min and then allowed to recover for 90 min (Fig. 6g lane 7 and Fig. 6h lane 15), caspase-3 was active, indicating that, during 45 min of incubation, both GA and CLV were inducing irreversible morphological changes to mitochondrial structure, leading to the irreversible activation of the apoptotic pathway.

We then tested the irreversibility of mitochondrial fragmentation upon exposure to compounds 3, 4 and 5 (Fig. 7). The cells were treated as in Fig. 6; after incubation with CGXs for different amounts of time, the cells were subjected to 90 min recovery in fresh medium and were then processed for IF and WB analyses to detect mitochondria fragmentation and caspase-3 activation.

All the compounds were incubated at 2 μM (with the exception of 5 that was used at 80 μM) for times ranging from 0 min to the minimum time necessary to induce caspase-3 activation, at 15 min intervals. This time varies from compound to compound, being equal to 90 min for 3, 120 min for 4 and 180 min for 5. After washing the compounds, the cells were allowed to recover for 90 min and processed for IF. Fig. 7

![Fig. 6 Irreversibility of mitochondrial fragmentation by GA and CLV. (a–f) Cells were assessed by IF for their ability to regain mitochondrial integrity after 90 min of recovery in fresh medium following treatment with GA for 0 min (a), 15 min (b) and 30 min (c) or CLV for 0 min (d), 15 min (e) and 30 min (f). Recovery after 30 min of treatment for both GA (c) and CLV (f) could not restore mitochondrial integrity. Mitochondria are shown in red, nuclei are in blue. (g–h) Western blot analysis was used to measure the degree of irreversibility by caspase-3 (C3) activation. As shown in (g) (GA) and (h) (CLV), while incubation times shorter than 90 min did not induce C3 activation (lanes 1–4 and lanes 10–12), after recovery in the absence of the drugs, C3 was shown to be active once the compounds had been present on the cells for more than 30 min (lanes 7–9 and 15–17). Tubulin (bottom line) was used as a loading control.](image1)

![Fig. 7 Irreversibility of mitochondrial fragmentation by 3, 4 and 5. Cells were incubated with CGXs for the specified amounts of time, then subjected to 90 min recovery in fresh medium and processed for IF. Mitochondria are in red; nuclei are in blue. Top panel: Treatment with 3 prior to recovery for 0 min (a), 15 min (b) and 30 min (c). Bottom panel: Treatment with 4 prior to recovery for 15 min (d), 30 min (e) and 45 min (f). Signs of irreversibility (indicated by the failure to recover the intact mitochondrial network) are shown in (c) and (f). WB analysis indicating caspase-3 activation is shown in (g). Compound 3 needs a minimum of 45 min to induce activation of caspase-3 (lane 3). Compound 4 needs at least 60 min (lane 9), while 5 proved to be reversible up to 120 min incubation (data not shown). Tubulin (bottom line) was used as a loading control.](image2)
shows the results for compounds 3 and 4. Compound 5 proved to have a reversible effect at the times and concentrations tested (data not shown). Compound 3 behaved similarly to CLV, since 30 min incubation was sufficient to induce an irreversible mitochondrial fragmentation (Fig. 7c). On the other hand, compound 4 proved to be less effective, requiring 45 min (Fig. 7f) to induce the same level of mitochondrial disruption. We then performed WB analysis to confirm that the irreversible mitochondria fragmentation corresponded to the activation of caspase-3. As shown in Fig. 7g, compound 3 induced caspase activation after 45 min of incubation, reproducing the results obtained for both CLV and GA. Analogue 4 was once again less effective, inducing caspase-3 activation following 45 min of treatment. Compound 5 was ineffective for up to 120 min of incubation.

**Effect of A-ring hydroxylated caged *Garcinia* xanthones on the modulation of Hsp90**

Hsp90 is a nodal protein that plays a critical role in protein folding and is essential to cell proliferation.7 In cancer cells this protein is overexpressed and also exists in a high-affinity conformation that facilitates malignant progression.18 As such, it represents an important target for the development of anticancer agents.19 Treatment of different cancer cell lines with known Hsp90 inhibitors is known to induce degradation of kinases, transcription factors and other protein clients of Hsp90.20 Encouraged by recent studies suggesting that Hsp90 is a major target of GA,6 we evaluated the effect of CLV and its A-ring hydroxylated derivatives 3 and 4 on this protein in SKBR3 cells, a breast cancer cell line. The cells were treated with different concentrations of GA, CLV, 3 and 4 or just the solvent (DMSO) for 24 hours and analyzed for several different client kinases, as well as Hsp70 and Hsp90. As seen in Fig. 8, the active form of the survival kinase Akt, pAkt (anti-phospho Ser473), disappears faster than the total Akt (tAkt). The kinase Raf-1 that functions in the MAPK/ERK signaling pathway controlling cell growth degrades in a concentration dependent manner for all the compounds tested. Also, Her2 kinase, a well established therapeutic target for breast cancer, proves to be extremely sensitive to treatment with GA, CLV and 3 (starts degrading at the lowest dose tested) and less sensitive to compound 4 (degradation happens only at the higher concentration used). Additionally, the mass induction of Hsp70 and the less prominent induction of Hsp90 are indicators of Hsp90 inhibition, upon which the heat shock factor (HSF1) becomes activated and leads to transcriptional induction of the heat shock genes (e.g. Hsp70). Comparison of the amounts of client degradation and induction of Hsp70 using different compounds suggests that both CLV and 3 behave similarly and they resemble the client degradation rates and Hsp70 induction observed with GA.

In order to test the SKBR3 cells for induction of apoptosis, after treating the cells with the same concentrations of GA, CLV, 3 and 4 that lead to a degradation of Hsp90 clients, we analysed the cell lysates for cleavage of PARP, a DNA polymerase involved in DNA repair and cell death (Fig. 9). Again, 24 hours post treatment, even at the low concentration of 0.5 μM both GA and CLV induce cleavage of PARP (∼MW 89- lower band), which clearly indicates that the cells are already undergoing apoptosis. Comparison between CLV and 3 shows that both compounds are able to induce apoptosis at similar rates (compare CLV and 3 at 2 μM). As expected, compound 4 did not induce significant apoptosis at the concentrations used.

**Conclusions**

Inspired by the biological potential of cluvenone (CLV) and related caged *Garcinia* xanthones (CGXs), we synthesized selected A-ring hydroxylated analogues and evaluated their effect on cell growth, mitochondrial fragmentation,
mitochondriotoxicity and Hsp90 client protein degradation. We found that both the C<sub>6</sub> and C<sub>18</sub> hydroxylated cluvenones inhibit the growth of CEM cells at low micromolar concentrations and induce cell death via the mitochondrial pathway. In addition, gambogenic acid, CLV and the hydroxylated cluvenones induce Hsp90-dependent protein client degradation at low micromolar concentrations. Interestingly, the site of A ring hydroxylation significantly influences the site selectivity of the C10-methylation at C10 (i.e. compound 5) decreases the electron density of the B ring carbonyl, thus favoring the formation of the regular motif (produced in an 11.5:1 ratio over the neo caged motif). Importantly, the site of A ring hydroxylation also affects the bioactivity of the CGX motif. Specifically, 3 is approximately 3 times more active than 4 in inhibiting cell growth of CEM cells. A similar trend is observed in the mitochondrial fragmentation, the caspase activation and the Hsp90 client inhibition assays. We postulate that this trend stems from tuning the reactivity of the C<sub>9</sub>-C<sub>10</sub> enone as a conjugate electrophile. In support of this postulate, methylation at C<sub>10</sub> (i.e. compound 5) significantly limits the reactivity of this enone thereby decreasing the overall bioactivity of the CGX motif. Overall, our studies indicate that the A-ring of the caged Garcinia xanthisones is amenable to functionalization in a manner that modulates not only the site selectivity of the C10-Methylation in the regular caged structure but also their biological potencies.

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**Notes and references**


14 An alternative explanation for the observed site selectivity could be that the Claisen rearrangement is reversible and that the rate of the Diels–Alder reaction controls the product selection. For computational studies in support of this proposal see: (a) A. E. Hayden, H. Xu, K. C. Nicolaou and K. N. Houk, Org. Lett., 2006, 8, 2989–2992.


17 For selected reviews on this topic see: (a) A. G. Porter and R. U. Jaenicke, Cell Death Differ., 1999, 6, 99–104; (b) E. D. Crawford and J. A. Wells, Annu. Rev. Biochem., 2011, 80, 1055–1087.

